

PRODUCT / PROCESS CHANGE NOTIFICATION

PCN-000349

Date: December 15, 2015 P1/2

| I I Semtech Corporation, 20 | 00 Flynn Road, Camarillo CA | 93012 | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|
| Semtech Canada Corpo | ration, 4281 Harvester Road, | Burlington, Ontario L7L 5M4 (| Canada | | |
| Semtech Irvine, 5141 Ca | alifornia Ave., Suite 100, Irvine | e CA 92617 | | | |
| Semtech Neuchatel Sarl | , Route des Gouttes d'Or 40, | CH-2000 Neuchatel Switzerla | nd | | |
| Nanotech Semiconducto | or, Semtech Corporation, 2 W | est Point Court, Bristol, United | d Kingdom, BS32 4PY | | |
| Semtech Corpus Christi | SA de CV, Carretera Matamo | rros Edificio 7, Reynosa, Tam | aulipas, Mexico 88780 | | |
| Semtech Triune, 1101 Resource Drive, Suite 121, Plano TX 75074 | | | | | |
| | Change | Details | | | |
| Part Number(s) Affect | ed: Cu | stomer Part Number(s) | Affected: 🖂 N/A | | |
| GN2403BIBE3, GN2403 | 3SBIBE3, | | | | |
| GN2404BIBE3, GN240 | 7BIBE3, | | | | |
| GN2407SBIBE3, GN24 | 08BIBE3. | | | | |
| GN2409BIBE3, GN241 | 0FBIBE3. | | | | |
| GN2410BIBE3 GN241 | 1BIBE3 | | | | |
| GN2/12BIBE3 GN2/1 | 5BIBE3 | | | | |
| GT1704-IBE3 GT1706 | | | | | |
| GT1704-IBL3, GT1700 | -IDE3 | | | | |
| Description Purpose | and Effect of Change: | | | | |
| Description, Purpose and Effect of Change: | | | | | |
| 1 Nomice Amkerica III | derfill europlier, hee desided to | | from Jonon Cito to Toiwon | | |
| Namics, Amkor's Ur There will be no cha A fire at Amkor's Lid plating will be done | nderfill supplier, has decided to inge in the material composition supplier, Kenly, has forced th at Moderne Tech. | o change manufacturing plant on. he lid plating to be transferred | from Japan Site to Taiwan. to a new vendor. The | | |
| Namics, Amkor's Ur There will be no cha A fire at Amkor's Lid plating will be done a Change Classification | nderfill supplier, has decided to inge in the material composition supplier, Kenly, has forced th at Moderne Tech. | o change manufacturing plant on. he lid plating to be transferred Impact to Form, Fit, Function | from Japan Site to Taiwan. to a new vendor. The | | |
| Namics, Amkor's Ur There will be no cha A fire at Amkor's Lid plating will be done Change Classification Impact to Data Sheet | nderfill supplier, has decided to ange in the material composition I supplier, Kenly, has forced th at Moderne Tech. | o change manufacturing plant on. he lid plating to be transferred Impact to Form, Fit, Function New Revision or Date | from Japan Site to Taiwan. to a new vendor. The Yes No N/A | | |
| Namics, Amkor's Ur There will be no cha A fire at Amkor's Lid plating will be done Change Classification Impact to Data Sheet Impact to Performance | nderfill supplier, has decided to inge in the material composition supplier, Kenly, has forced th at Moderne Tech. Major Minor Yes No e, Characteristics or Re | o change manufacturing plant on. he lid plating to be transferred Impact to Form, Fit, Function New Revision or Date eliability: | from Japan Site to Taiwan. to a new vendor. The Yes No N/A | | |
| Namics, Amkor's Ur There will be no cha A fire at Amkor's Lid plating will be done a Change Classification Impact to Data Sheet Impact to Performance The package chang to ensure long-term well within expectat performance, charace | Aderfill supplier, has decided to ange in the material composition is supplier, Kenly, has forced the at Moderne Tech. Major Minor Yes No e, Characteristics or Re es were evaluated both electrr package reliability. All variati ions of normal lot to lot varia cteristics or reliability. | o change manufacturing plant on. The lid plating to be transferred Impact to Form, Fit, Function New Revision or Date Pliability: Trically for parametric performation seen between the control tion. Therefore, there is no control | from Japan Site to Taiwan. to a new vendor. The Yes No N/A Ince as well as mechanically lot and qualification lots are discernable impact in device | | |
| Namics, Amkor's Ur There will be no cha A fire at Amkor's Lid plating will be done a Change Classification Impact to Data Sheet Impact to Performance The package chang to ensure long-term well within expectat performance, charace Implementation Date | Aderfill supplier, has decided to ange in the material composition is supplier, Kenly, has forced the at Moderne Tech. Major Minor Yes No e, Characteristics or Resent es were evaluated both electron package reliability. All variations of normal lot to lot variations iteristics or reliability. March 1, 2016 (1) | b change manufacturing plant on. The lid plating to be transferred Impact to Form, Fit, Function New Revision or Date Pliability: Trically for parametric performation seen between the control tition. Therefore, there is no control Work Week | from Japan Site to Taiwan. to a new vendor. The Yes No N/A Ince as well as mechanically lot and qualification lots are discernable impact in device NA | | |
| Namics, Amkor's Ur There will be no cha A fire at Amkor's Lid plating will be done a Change Classification Impact to Data Sheet Impact to Performance The package chang to ensure long-term well within expectat performance, charace Implementation Date Last Time Ship (LTS) Of unchanged product | Aderfill supplier, has decided to ange in the material composition is supplier, Kenly, has forced the at Moderne Tech. Major Minor Yes No e, Characteristics or Res es were evaluated both electr package reliability. All variati ions of normal lot to lot varia cteristics or reliability. March 1, 2016 (1) NA | b change manufacturing plant on. The lid plating to be transferred Impact to Form, Fit, Function New Revision or Date Pliability: Trically for parametric performation on seen between the control tion. Therefore, there is no control Work Week Affecting Lot No. / Serial No. (SN) | from Japan Site to Taiwan. to a new vendor. The Yes No N/A Ince as well as mechanically lot and qualification lots are discernable impact in device NA NA | | |



PRODUCT / PROCESS CHANGE NOTIFICATION

PCN-000349

Date: December 15, 2015 P2/2

Supporting Documents for Change Validation/Attachments:

- Electrical evaluation report GN2412-Family 2015 Amkor Product Change Qualification Report
- Semtech reliability Assessment Kyocera substrate, Namics underfill plant location, and new lid coating Qualification
 Report
- C2MI/Semtech mechanical assessment Die Pull and SMT Shear Test
- Amkor mechanical Assessment Assembly Build Report: Semtech GN24XX Substrate, Lid and UF PCN Qualification Build

Notes:

- (1) Implementation date could be sooner as current inventory of the plated lid is depleted and there is no possibility to order more due to the fire at the Supplier.
- (2) Samples for this PCN will include changes mentioned above as well changes referred to PCN-000348.

| Issuing Authority | | | | |
|--------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|--|--|
| Semtech Business Unit: | SIP | | | |
| Semtech Contact Info: | Luis Blanco Semtech Corporation Senior Director, Quality Engineering 4281 Harvester Road Burlington, ON L7L 5M4 Iblanco@semtech.com Office: (905) 632-7253 Fax: (905) 632-2055 | <u>A</u> | | |
| FOR FURTHER INFORMATION & WORLDWIDE SALES COVERAGE: <u>http://www.semtech.com/contact/index.html#support</u> | | | | |
| | | | | |



GN2412-Family 2015 Amkor Product Change Qualification Report

1. Substrate change from NTK to Kyocera

2. Namics Underfill Manufacture Location from Japan to Taiwan

3. Lid Plating vendor change from Kenly to Moderne Tech

Revision History

| Version | ECO | Date | Modifications / Changes |
|---------|-----|---------------|-------------------------|
| 0 | | December 2015 | Initial release |

Contents

| 1 | | Purpose | .3 |
|---|-----|------------------------|----|
| 2 | | Scope | .3 |
| 3 | | Qualification Approach | .3 |
| | 3.1 | Sampling | .3 |
| | 3.2 | Procedure | .3 |
| 4 | | Analysis | .4 |
| | 4.1 | Means Comparison | .4 |
| | 4. | 1.1 Results | .4 |
| | 4.2 | StdDev Comparison | .5 |
| | 4. | 2.1 Results | .5 |
| | 4.3 | Yield Comparison | .6 |
| 5 | - | Conclusion | .7 |

1 Purpose

Several changes within the Amkor supply chain were announced that will impact the GN2412-family of products:

- 1. NTK announced its decision to terminate manufacturing of the substrate which are currently produced at Eastern facilities, by the end of Mar, 2016. As such, the GN2412-Family of products will move substrate suppliers from NTK to Kyocera.
- 2. Namics, Amkor's GN2412-family Underfill supplier, has decided to change manufacturing plant from Japan Site to Taiwan. There will be no change in the material composition.
- 3. A fire at Amkor's GN2412-family Lid supplier, Kenly, has forced the lid plating to be transferred to a new vendor. The plating will be done at Moderne Tech.

The purpose of this document is to show that the proposed changes to the GN2412-Family BOM will not adversely affect the product performance.

2 Scope

The scope of this qualification report is restricted to Electrical Performance only. The changes will impact the following products:

GN2403BIBE3, GN2403SBIBE3, GN2404BIBE3, GN2407BIBE3, GN2407SBIBE3, GN2408BIBE3, GN2409BIBE3, GN2410FBIBE3, GN2410BIBE3, GN2411BIBE3, GN2412BIBE3, GN2415BIBE3, GT1704-IBE3, GT1706-IBE3

3 Qualification Approach

3.1 Sampling

One wafer (P30S42.00 – wafer#1) was split and 5 lots were assembled. One lot used the current BOM, one lot used current substrate with new underfill and lid plating and three lots used three different substrate lots, Underfill Lots and Lid-Plating lots. The table below shows the split.

| SAP Batch | Amkor Lot# | Date Code | Lot# | Namics NAU27 | Substrate | Lid Batch | Tested |
|-----------|-------------------|-----------|------|---------------|-----------|-----------|--------|
| 49634.1 | P30842.00-8992POR | 1538 | POR | POR (JPN) | NTK | POR | 500 |
| 49636.1 | P30842.00-8991Q4 | 1538 | Q4 | Batch 1 (TWN) | NTK | Batch1 | 548 |
| 49637.1 | P30842.00-8988Q1 | 1538 | Q1 | Batch 1 (TWN) | Lot1 | Batch 1 | 248 |
| 49638.1 | P30842.00-8989Q2 | 1538 | Q2 | Batch 2 (TWN) | Lot2 | Batch 2 | 250 |
| 49639.1 | P30842.00-8990Q3 | 1538 | Q3 | Batch 3 (TWN) | Lot3 | Batch 3 | 200 |

3.2 Procedure

- Each lot was tested through the latest revision of the GN2412B test program (GN2412_C2W0_F1PP_rev090_eco025934).
- The material was initially tested and both Functional Yield as well as Overall Yield was compared vs the Process of record.
- Subsequently, 100 passing devices from each lot were selected and run through GN2412_C2W0_F1PP_rev090_eco025934. This data was used to gauge any parametric shifting between the POR and the new Substrate, Underfil and Lid Plating.
- The data is uploaded to Semtech's Test Data Server.

4 Analysis

Basic statistics consisting of mean and standard deviation are calculated for each parameter from each sub lot. A one-to-one comparison is then made for applicable parameters. In addition a yield and failure-mode comparison is done to ensure consistent functional performance between the various manufacturing changes. Explanation will be given for tests that do not meet the specified criteria.

4.1 Means Comparison

For the means comparison, parametric equivalence is achieved if the mean value from the New Material set is within 10% of the mean value from the POR material set as it relates to the guard banded test boundaries. The calculated value is as follows:

$$\frac{\left|\overline{X}_{NEW} - \overline{X}_{REF}\right|}{T_{high} - T_{low}} \le 10\%$$

4.1.1 Results

Table 1.1: Mean Comparison Summary

| | | Mean wit | hin 10% | |
|------------------------------------|-----|----------|---------|-----|
| Test category | Q1 | Q2 | Q3 | Q4 |
| CONTINUITY | Yes | Yes | Yes | Yes |
| POWER SHORTS | Yes | Yes | Yes | Yes |
| OTP | Yes | Yes | Yes | Yes |
| UC_SIDD | Yes | Yes | Yes | Yes |
| VILH | Yes | Yes | Yes | Yes |
| VOLH | Yes | Yes | Yes | Yes |
| IILH | Yes | Yes | Yes | Yes |
| IOLH | Yes | Yes | Yes | Yes |
| MBIST | Yes | Yes | Yes | Yes |
| MISSION BIST | Yes | Yes | Yes | Yes |
| Life Clock Freq | Yes | Yes | Yes | Yes |
| RESISTORS | Yes | Yes | Yes | Yes |
| TX DC Magnitude and Polarity Check | Yes | Yes | Yes | Yes |
| Eye Height | Yes | Yes | Yes | Yes |
| Eye Width | Yes | Yes | Yes | Yes |
| IDD/Power | Yes | Yes | Yes | Yes |

4.2 StdDev Comparison

For the Standard Deviation comparison, parametric equivalence is achieved if the Stdev value from the New Material set is within 10% of the Stdev value from the POR material set as it relates to the guard banded test boundaries. The calculated value is as follows:

$$\frac{\left|\sigma_{\scriptscriptstyle NEW} - \sigma_{\scriptscriptstyle REF}\right|}{T_{\scriptscriptstyle high} - T_{\scriptscriptstyle low}} \! \leq \! 10\% \; .$$

4.2.1 Results

 Table 1.2: StdDev Comparison Summary

| | | StdDev wi | thin 10% | |
|------------------------------------|-----|-----------|----------|-----|
| Test category | Q1 | Q2 | Q3 | Q4 |
| CONTINUITY | Yes | Yes | Yes | Yes |
| POWER SHORTS | Yes | Yes | Yes | Yes |
| OTP | Yes | Yes | Yes | Yes |
| UC_SIDD | Yes | Yes | Yes | Yes |
| VILH | Yes | Yes | Yes | Yes |
| VOLH | Yes | Yes | Yes | Yes |
| IILH | Yes | Yes | Yes | Yes |
| IOLH | Yes | Yes | Yes | Yes |
| MBIST | Yes | Yes | Yes | Yes |
| MISSION BIST | Yes | Yes | Yes | Yes |
| Life Clock Freq | Yes | Yes | Yes | Yes |
| RESISTORS | Yes | Yes | Yes | Yes |
| TX DC Magnitude and Polarity Check | Yes | Yes | Yes | Yes |
| Eye Height | Yes | Yes | Yes | Yes |
| Eye Width | Yes | Yes | Yes | Yes |
| IDD/Power | Yes | Yes | Yes | Yes |

4.3 Yield Comparison

Yield was reviewed and categorized between Continuity, Parametric and Functional failures. The chart below shows the relative yield for the current BOM (POR), the new underfill and Lid Plating but current substrate (Q4) and 3 lots of the new BOM (Q1-Q3).

| | POR | Q4 | Q1+Q2+Q3 |
|--------------------|--------|--------|----------|
| Functional Fallout | 2.0% | 1.1% | 1.6% |
| Parametric Fallout | 1.8% | 1.8% | 1.3% |
| Continuity | 0.0% | 0.0% | 0.0% |
| Total Yield | 96.20% | 97.10% | 97.10% |

Overall the variation in yield is <1% with the new BOM having marginally higher yield.

5 Conclusion

Based on the analysis of the data collected from the Control and Qualification Lots, there are no significant differences in electrical performance between the POR Material Set and the New Material Set:

- 1. Substrate change from NTK to Kyocera
- 2. Namics Underfill Manufacture Location from Japan to Taiwan
- 3. Lid Plating vendor change from Kenly to Moderne Tech

Therefore, in terms of electrical performance, the new material set (Kyocera substrate, Namics Underfill from Taiwain factory and lid plating from Moderne Tech) is considered qualified for production.



Kyocera substrate, Namics underfill plant location, and new lid coating Qualification Report (GN2412)

Revision History

| Version | ECR | Date | Modifications / Changes |
|---------|------------|----------|-------------------------|
| 0 | ECO-028860 | Dec 2015 | New Document |

Contents

| 1. | Purpose | 3 |
|----|------------------------|---|
| 2. | Qualification Strategy | 3 |
| 3. | Impacted product | 3 |
| 4. | Qualification Results | 4 |
| 5. | Conclusion | 5 |

1. Purpose

This qualification is to qualify the following changes:

- 1- NTK will terminate manufacturing of the substrates which are currently produced at Eastern facilities by the end of March 2016. As such, the GN2412-Family of products will need to move substrate suppliers from NTK to Kyocera.
- 2- Due to a fire at the GN2412-family Lid supplier, Kenly, the lid plating will be transferring to a new vendor.
- 3- Namics, GN2412-family underfill supplier, to change manufacturing plant from Japan Site to Taiwan. No Change in material composition. Namics plans to transfer manufacturing site

2. Qualification Strategy

The changes above affect package performance only, therefore, package level qualification will be run only. The qualification will use 3 package lots made with 3 substrate lots and 3 underfill batches. The three lots are referred to as Q1, Q2, and Q3.

The plan will also qualify one lot with new Namics underfill, old NTK substrate and new coating to qualify this combination because some of the material built will use this combination before fully migrating to the new substrate. This lot will be referred to as Q4

The package vendor is still Amkor which is a vendor that has been fully qualified with 3-lot qualification approach for the GN2412 product family. The GN2412 package level qualification is documented in the following document PRODDOC003243.

3. Impacted product

All GN2412 variants

4. Qualification Results

Table 1 below lists the stresses that will be done to the changes described in the Purpose.

Table 1.:

| Item | Stress | Conditions | Read Points | Qualification Vehicle | Sample Size | Pass |
|------|---------------------------------------------------------------|------------------------------------------------------------------------|----------------------------------------------|--------------------------|--------------------|------|
| 1 | UHAST (Q1, Q2, Q3) | JESD22-A118 MSL Preconditioning, 130 °C, 85 % RH and SAM, 96 hrs | RP0 = 0 RP1 = Post UHAST | GN2412 | 60 from 3 lots | Pass |
| 2 | MSL+TC (Q1, Q2, Q3) | JESD22-A104 MSL Preconditioning, -55°C to +125°C (Condition B) | 1000 cycles 500 for qual 1000 for info | GN2412 | 60 from 3 lots | Pass |
| 3 | High Temperature Storage (Q1, Q2, Q3) | JEDSE22-A103 150 °C SAM | 1008 hrs 500hrs for qual 1000 for info | GN2412 | 60 from 3 lots | Pass |
| 4 | MSL+TC (Q4) | JESD22-A104 MSL Preconditioning, -55°C to +125°C (Condition B) | 1000 cycles 500 for qual 1000 for info | GN2412 | 50 from one lot | Pass |
| 5 | 1- Die Pull 2- SMT Shear 3- Ball shear 4- Lid torque | Semtech test conditions | NA | GN2412 | 40 | Pass |

5. Conclusion

Thus report demonstrates that the proposed changes for GN2412 as described in section1, have been qualified and passed the tests. Therefore, the new GN2412 substrate vendor, new location for under-fill factory, and the new plating on lid have all passed qualification and considered reliable.





IBM Systems Bromont Packaging Analytical Services 23, boulevard de l'Aéroport, Bromont, Qc, J2L 1A3

Die Pull and SMT Shear Test

| A . | | • |
|------|----------|-----|
| 1nn | | tor |
| niia | ເຮັ້ນເອ | IUI |
| | J | - |



MiQro Innovation Collaborative Centre 45, boulevard de l'Aéroport, Bromont, Qc, J2L 1S8

End Customer: Semtech

| | | Analysis done by: | 1 | |
|-----------------------|-----------------------|-------------------|--------------------------------------|------------------------------------|
| Lab. Request: S894 | Released Version 3 | | Ste | ephane Laforte, ing. Q # 142467 |
| | | All hard | copy reproductions are uncontrolled. | |

Failure Analysis and Material Characterization

Scope

•Semtech die pull 10 pcs et smt shear 30x 0402 components

- •5 lots to investigate (1 is POR)
- •2 die pull per lot / 2 series of 3x 0402 components shear per lot

Observations

Chip pull results shows all the lots broke with standard rupture modes
No solder or cleanliness defect seen.
0402 components shear showed no solder problem or contamination

•All components broke with a similar rupture mode

Part description

Electronic module with 13mm organic substrate
Chip size: 3.2 mm
3x 0402 components per module

0402 Component #1



Failure Analysis and Material Characterization

Failure Analysis and Material Characterization

Die pull results

| | | | Load at fracture | % of taffy | % intermetallic | % chip pull | % other rupture |
|------------|--------------------|-------|------------------|------------|-----------------|-------------|-----------------|
| Lot ID | Description | Part# | (lbs) | pull | break at BLM | out | mode . |
| P30S42-00- | | 1 | 14.1 | 97 | 2 | 1 | 0 |
| 8992POR | NTK Control Lot 1 | 2 | 12.2 | 97 | 3 | C | 0 |
| P30S42-00- | | 1 | 9 | 90 | 10 | C | 0 |
| 8991Q4 | NTK Control Lot 2 | 2 | 10.2 | 95 | 4 | 1 | 0 |
| P30S42-00- | | 1 | 11.2 | 67 | 32 | 1 | 0 |
| 8988Q1 | Kyocera Qual Lot 1 | 2 | 16.5 | 99 | 1 | C | 0 |
| P30S42-00- | | 1 | 14.3 | 99 | 1 | C | 0 |
| 8989Q2 | Kyocera Qual Lot 2 | 2 | 13.6 | 100 | 0 | C | 0 |
| P30S42-00- | | 1 | 10.5 | 100 | 0 | C | 0 |
| 8990Q3 | Kyocera Qual Lot 3 | 2 | 17.2 | 100 | 0 | C | 0 |

| Average | | 13.9 | 94.2 | 5.7 | 0.2 | 0.0 |
|---------------|--|------|------|------|-----|-----|
| | | | | | | |
| Std Variation | | 2.7 | 13.3 | 12.9 | 0.4 | 0.0 |

Observation:

No solder problem on any part (non-wet, bridge, etc.)
No contamination. Very good die & substrate surface cleanliness on all parts.

•No significant difference seen between the lots. Standard rupture mode seen.

Setup: •Speed: 0.02 inches/min

Tool info: •Instron 8874 tool 2025 •Load cell M211-116 (s/n 99144) •Last calibration: 2014/11/20

Die pull: Examples of the different rupture modes



Failure Analysis and Material Characterization

Failure Analysis and Material Characterization

0402 component shear results

| | | | Load at fracture (g) - 0402 | Load at fracture (g) - 0402 | Load at fracture (g) - 0402 | |
|-------------------|--------------------|-------|-----------------------------|-----------------------------|-----------------------------|-------------------------|
| Lot ID | Description | Part# | component 1 | component 2 | component 3 | Rupture mode |
| | | 3 | 2043 | 1581 | 1013 | Capacitor surface (all) |
| P30S42-00-8992POR | NTK Control Lot 1 | 4 | 1988 | 1562 | 1388 | Capacitor surface (all) |
| | | 3 | 1662 | 1574 | 2059 | Capacitor surface (all) |
| P30S42-00-8991Q4 | NTK Control Lot 2 | 4 | 1678 | 2098 | 1955 | Capacitor surface (all) |
| | | 3 | 1501 | 1646 | 1368 | Capacitor surface (all) |
| P30S42-00-8988Q1 | Kyocera Qual Lot 1 | 4 | 1481 | 2053 | 1902 | Capacitor surface (all) |
| | | 3 | 1731 | 1563 | 2110 | Capacitor surface (all) |
| P30S42-00-8989Q2 | Kyocera Qual Lot 2 | 4 | 1972 | 2146 | 1788 | Capacitor surface (all) |
| | | 3 | 1853 | 1362 | 1817 | Capacitor surface (all) |
| P30S42-00-8990Q3 | Kyocera Qual Lot 3 | 4 | 1847 | 1853 | 1759 | Capacitor surface (all) |

| -velaye | 1731 | 1771 | 1791 |
|---------------|------|------|------|
| Std Variation | 201 | 301 | 243 |

Observation:

No solder problem or contamination seenAll 0402 components broke near the body surface / solder interface.

Setup: •Shear height: 50µm •Speed: 85µm/s

Tool info: •Dage 4000+ •5 kg load cell (s/n 20292511) •Last calibration: 2015/08

0402 component shear: pictures of the rupture mode

Failure Analysis and Material Characterization

Top down view



Top down view



Sheared 0402 component bottom view



Failure Analysis and Material Characterization

Report versions

| Version* | Publication Date | Modifications |
|----------|------------------|--------------------------------------------------------------------------------------|
| 1 | 2015/10/05 | Original version |
| 2 | 2015/10/06 | Addition of lot description. Typo and wording changes following end customer request |
| 3 | 2015/10/06 | Correction of lot vs description mismatch |
| | | |

* Last version replaces all previous ones

NOTICE:

You may not have the current version. It is user's responsibility to verify current version with the owner and discard obsolete versions. The Master Copy of this document is the online controlled version maintained in the Bromont Packaging Analytical Services. All hard copy reproductions are uncontrolled.



Assembly Build Report: Semtech GN24XX Substrate, Lid and UF PCN Qualification Build ATT3 Assembly October 14, 2015



- Purpose
 - To provide the result of material qual test for GN24XX family device.
- BOM
 - Substrate : 0.79mm thickness, 13x13 8Layer 1.0 pitch, build up (NTK:101385647 vs Kyocera : 101393239)
 - Solder paste : M705-533A
 - Capacitor : 0402 82nf +/-10% X7R 16V
 - Resistor : 0402 3KOHM +/-1% 1/16W
 - Flux : SCF-5
 - Underfill : NAU-27 (JPN vs TWN)
 - Lid : 13x13, Black Aluminum (Anodization by Kenly vs Moderen (Kenly outsourcing))
 - Lid attach / TIM : DCL-5 / SHA-5
 - Solder Ball : SAC305, 0.5mm



Lot information matrix

| Lot# | Customer PO no. | NAU27 batch no. | Substrate (Kyocera lot number / q'ty) | Lid Anode Lot(Q'ty) | Leg | Assembly lot | Device name | Loading Q'ty | Ship to |
|------|-----------------|-------------------|---------------------------------------------|--------------------------------------|-------|-------------------|-------------|--------------|----------|
| | PO600008992 | POR(WH provide) | NTK(WH provide) | POR | Lot 1 | P30S42.00-8992POR | GN2412B | 500 | Customer |
| | PO600008992 | POR(WH provide) | NTK(WH provide) | POR | Lot 2 | P30S42.00-8992POR | GN2411B | 855 | Customer |
| POR | PO600008992 | POR(WH provide) | NTK(WH provide) | POR | Lot 3 | P30S42.00-8992POR | GN2404B | 200 | Customer |
| | PO600008992 | POR(WH provide) | NTK(WH provide) | POR | Lot 4 | P30S42.00-8992POR | GN2411B | 8 | Customer |
| | PO600008992 | POR(WH provide) | NTK(WH provide) | POR | Lot 5 | Set up-POR | GN2411B | 42 | PD |
| | PO600008988 | 50208-2T | 0001 / 250 ea | 5818 (250 ea) | Lot 1 | P30S42.00-8988Q1 | GN2412B | 250 | Customer |
| | PO600008988 | 50208-2T | 0001 / 300 ea | 5818 (300 ea) | Lot 2 | P30S42.00-8988Q1 | GN2411B | 300 | Customer |
| 01 | PO600008988 | 50208-2T | 0001 / 110 ea | 5818 (110 ea) | Lot 3 | P30S42.00-8988Q1 | GN2407B | 110 | Customer |
| | PO600008988 | 50208-2T | 0001 / 60 ea | 5818 (60 ea) | Lot 4 | P30S42.00-8988Q1 | GN2415B | 60 | Customer |
| | PO600008988 | 50208-2T | 0001 / 8 ea | 5818 (8 ea) | Lot 5 | P30S42.00-8988Q1 | GN2411B | 8 | Customer |
| | PO600008988 | 50208-2T | 0001 / 42 ea | 5818 (42 ea) | Lot 6 | Set up-Q1 | GN2411B | 42 | PD |
| | PO600008989 | 50204T | 0002 / 250 ea | 5724 (250 ea) | Lot 1 | P30S42.00-8989Q2 | GN2412B | 250 | Customer |
| 00 | PO600008989 | 50204T | 0002 / 300 ea | 5724 (110 ea) + 5727 (190 ea) | Lot 2 | P30S42.00-8989Q2 | GN2411B | 300 | Customer |
| Q2 | PO600008989 | 50204T | 0002 / 110 ea | 5727 (110 ea) | Lot 3 | P30S42.00-8989Q2 | GN2403B | 110 | Customer |
| | PO600008989 | 50204T | 0002 / 60 ea | 5727 (60 ea) | Lot 4 | P30S42.00-8989Q2 | GT1704 | 60 | Customer |
| | PO600008989 | 50204T | 0002 / 8 ea | 5819 (8 ea) | Lot 5 | P30S42.00-8989Q2 | GN2411B | 8 | Customer |
| | PO600008989 | 50204T | 0002 / 42 ea | 5819 (42 ea) | Lot 6 | Set up-Q2 | GN2411B | 42 | PD |
| | PO600008990 | 50208-1T | 0003 / 200 ea | 5819 (200 ea) | Lot 1 | P30S42.00-8990Q3 | GN2412B | 200 | Customer |
| | PO600008990 | 50208-1T | 0003 / 300 ea | 5819 (300 ea) | Lot 2 | P30S42.00-8990Q3 | GN2411B | 300 | Customer |
| | PO600008990 | 50208-1T | 0003 / 55 ea | 2729 (55 ea) | Lot 3 | P30S42.00-8990Q3 | GN2410FB | 55 | Customer |
| Q3 | PO600008990 | 50208-1T | 0003 / 110 ea | 2729 (100 ea) | Lot 4 | P30S42.00-8990Q3 | GN2403B | 110 | Customer |
| | PO600008990 | 50208-1T | 0003 / 60 ea | 2729 (60 ea) | Lot 5 | P30S42.00-8990Q3 | GT1706 | 60 | Customer |
| | PO600008990 | 50208-1T | 0003 / 8 ea | 2729 (8) | Lot 6 | P30S42.00-8990Q3 | GN2411B | 8 | Customer |
| | PO600008990 | 50208-1T | 0003 / 42 ea | 2729 (42) | Lot 7 | Set up-Q3 | GN2411B | 42 | PD |
| | PO600008991 | 50208-2T | NTK(WH provide) | 5818 (550 ea) | Lot 1 | P30S42.00-8991Q4 | GN2412B | 550 | Customer |
| Q4 | PO600008991 | 50208-2T | NTK(WH provide) | 5818 (8 ea) | Lot 2 | P30S42.00-8991Q4 | GN2412B | 8 | Customer |
| | PO600008991 | 50208-2T | NTK(WH provide) | 5818 (42 ea) | Lot 3 | Set up-Q4 | GN2412B | 42 | PD |



DOE plan : quality inspection matrix

| DOE Plai | DOE Plan: NAU-27 UF from TWN Namics Factory and Kenly Lids Anodization at the Moderen | | | | | | | | | | | | |
|----------|---------------------------------------------------------------------------------------|--------------|-----|-----------------|-----------|-----------|-------------------------------|-----------------------|---------------------|----------------------------|-----------------------------|----------------------|--|
| Lot# | NAU27 | Lid Batch | Qty | Set-up Units | Die Pull* | SMT Shear | Ball Shear (5 balls /unit) | SAT (post UF Cure) | Lid Torqe (EOL)* | Pre-con (MSL3/250 C) | Lid Torqe (Pre- con)* | SAT (pre- con) | |
| Lot POR | POR (JPN) | POR | 50 | 2 | 4 | 4 | 4 | 40 | 10 | 30 | 10 | 20 | |
| Lot Q1 | Batch 1 (TWN) | Batch 1 | 50 | 2 | 4 | 4 | 4 | 40 | 10 | 30 | 10 | 20 | |
| Lot Q2 | Batch 2 (TWN) | Batch 1 | 50 | 2 | 4 | 4 | 4 | 40 | 10 | 30 | 10 | 20 | |
| Lot Q3 | Batch 2 (TWN) | Batch 2 | 50 | 2 | 4 | 4 | 4 | 40 | 10 | 30 | 10 | 20 | |
| Lot Q4 | Batch 1 (TWN) | Batch 3 | 50 | 2 | 4 | 4 | 4 | 40 | 10 | 30 | 10 | 20 | |

PS: Die Pull & SMT shear samples shipped to Semtech site for test and data collection. Pre-con and lid torque testing are in progress at ATT.



D/A process – X-ray(before reflow) : POR-No abnormality observed





D/A process – X-ray(after reflow) : POR-No abnormality observed





D/A process – Reflow profile : No abnormality observed





 UF process : view photo : No abnormality observed (Bleeding shown below is acceptable. Criteria: UF cannot touch the capacitors)





Q1



• UF process : SAT (post UF Cure)- POR : No abnormality observed



• UF process : SAT (post UF Cure)-Q1 : No abnormality observed



• UF process : SAT (post UF Cure)- Q2 : No abnormality observed



• UF process : SAT (post UF Cure)- Q3 : No abnormality observed



• UF process : SAT (post UF Cure)- Q4 : No abnormality observed



 Lid attach process : TIM & adhesive pattern : No abnormality observed





• Lid attach process : Torque test: No abnormality observed

| Lot | Device | SS | Avg | Min | Max | 3S |
|-----|---------|----|------|-----|------|------|
| POR | GN2411B | 10 | 9.23 | 6.8 | 11 | 1.58 |
| Q1 | GN2411B | 10 | 7.76 | 6.6 | 12.2 | 1.64 |
| Q2 | GN2411B | 10 | 7.16 | 5.9 | 9 | 1.02 |
| Q3 | GN2411B | 10 | 8.33 | 6.9 | 11.2 | 1.35 |
| Q4 | GN2412B | 10 | 7.51 | 6.1 | 12.1 | 1.74 |



Marking process : Marking image : No abnormality observed





B/A process : Reflow profile : No abnormality observed





 B/A process
 No abnormality observed

| Lot | Device | SS | Spec | Avg | Min | Max | 3S | СрК |
|-----|---------|----|------|--------|--------|--------|-------|-----|
| POR | GN2411B | 5 | 630 | 1311.0 | 1258.3 | 1388.8 | 193.6 | 3.5 |
| Q1 | GN2411B | 5 | 630 | 1328.3 | 1194.7 | 1458.5 | 296.0 | 2.4 |
| Q2 | GN2411B | 5 | 630 | 1359.4 | 1183.0 | 1457.4 | 311.4 | 2.3 |
| Q3 | GN2411B | 5 | 630 | 1369.1 | 1291.3 | 1489.4 | 305.8 | 2.4 |
| Q4 | GN2412B | 5 | 630 | 1282.3 | 1175.0 | 1387.9 | 280.1 | 2.3 |

Co-planarity
 No abnormality
 observed

| Lot | Device | SS | Spec | Avg | Min | Max | 3S | СрК |
|-----|---------|----|------|-----|-----|-----|-------|-------|
| POR | GN2411B | 32 | 200 | 31 | 21 | 130 | 16.2 | 10.4 |
| Q1 | GN2411B | 32 | 200 | 29 | 23 | 42 | 12.62 | 13.51 |
| Q2 | GN2411B | 32 | 200 | 30 | 21 | 51 | 11.78 | 14.46 |
| Q3 | GN2411B | 32 | 200 | 30 | 23 | 52 | 13.53 | 12.56 |
| Q4 | GN2412B | 32 | 200 | 30 | 21 | 45 | 11.1 | 15.26 |



Package dimensional measurement : No abnormality observed

| Lot No | Device | Package | Spec | Min | Max | Avg | SD | PPK |
|--------|---------|---------|-----------------|--------|--------|----------|----------|----------|
| POR | GN2411B | х | 12.90 ~ 13.20mm | 12.988 | 13 | 12.994 | 0.003162 | 21.71431 |
| | | Y | 12.90 ~ 13.20mm | 12.987 | 13.001 | 12.9935 | 0.004006 | 17.18059 |
| Q1 | GN2411B | х | 12.90 ~ 13.20mm | 12.987 | 13 | 12.9932 | 0.004147 | 16.6213 |
| | | Y | 12.90 ~ 13.20mm | 12.989 | 13.002 | 12.99567 | 0.003377 | 20.17074 |
| Q2 | GN2411B | х | 12.90 ~ 13.20mm | 12.987 | 13.002 | 12.99417 | 0.004052 | 16.93222 |
| | | Y | 12.90 ~ 13.20mm | 12.988 | 13.001 | 12.9951 | 0.003294 | 20.73344 |
| Q3 | GN2411B | х | 12.90 ~ 13.20mm | 12.988 | 13 | 12.99497 | 0.003232 | 21.14486 |
| | | Y | 12.90 ~ 13.20mm | 12.988 | 13.001 | 12.99417 | 0.003966 | 17.29943 |
| Q4 | GN2412B | х | 12.90 ~ 13.20mm | 12.988 | 13.002 | 12.9953 | 0.003761 | 18.14032 |
| | | Y | 12.90 ~ 13.20mm | 12.989 | 13.001 | 12.99477 | 0.00335 | 20.42392 |





